## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Pearson, James P. et al.

Serial No.: Not Yet Assigned

Filed: Herewith (continuation application of

08/456.864

For: Autoinducer Molecule

Attorney Docket No.: UIZ-003DVCN

Amorney Docket No., OIL-003D VCN

Group Art Unit: 1641

Examiner: Baskar, P.

Assistant Commissioner for Patents Washington, D.C. 20231

## ASSOCIATE POWER OF ATTORNEY

Sir:

The undersigned attorney has the power of attorney in the subject patent. He hereby grants an associate power to:

Peter C. Lauro, Esq. Registration No. 32,360 Lahive & Cockfield, LLP 28 State Street Boston, MA 02109

Please continue to forward all written and telephonic communications to Elizabeth A. Hanley, Esq. at the address and telephone number listed below.

Respectfully submitted.

Giulio A. DeConti, Jr., Esq Registration No. 31, 503

Attorney for Applicants

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: <u>April 3, 2000</u>

Applicant: James P. Pearson, et al.

Serial No.: 104,487

Filed: August 9, 1993

Title: AN AUTOINDUCER MOLECULE

Attorney Docket No.: UIZ-003

VEDIEIED STATEMEN	T (DECLARATION) CLAIMING SMALL ENTITY STATUS
(27 CED 1 0	(f) & 1.27(d))NONPROFIT ORGANIZATION

	(d))NONPROFIT ORGANIZATION
I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO	ACT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW:
NAME OF NONPROFIT ORGANIZATION	THE UNIVERSITY OF IOWA RESEARCH FOUNDATION
ADDRESS OF NONPROFIT ORGANIZATION	214 Technology Innovation Center, Oakdale Research Campus Iowa City, Iowa 52319
NONPROFIT SCIENTIFIC OR EDUCATIONA (NAME OF STATE TOWA (CITATION OF STATUTE C1 APTER 504A, C	HIGHER EDUCATION E SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) AL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA CODE OF IOWA DENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR
REGARDING THE ABOVE-IDENTIFIED INVENTION.  IF THE RIGHTS HELD BY THE NONPROFIT ORGANIZATION ARE	FILED: August 9, 1993  ISSUED  VHAVE BEEN CONVEYED TO AND REMAIN WITH THE NONPROFIT ORGANIZATION  ENOT EXCLUSIVE, EACH INDIVIDUAL, CONCERN OR ORGANIZATION HAVING RIGHTS IN HE INVENTION ARE HELD BY ANY PERSON, OTHER THAN THE INVENTOR WHO WOULD  OFFINAL OCCUPATION OF THAT PERSON MADE THE INVENTION, OR BY ANY CONCERN WHICH
NOT QUALIFY AS AN INDEPENDENT INVENTOR UNDER 3.7 WOULD NOT QUALIFY AS A SMALL BUSINESS CONCERN U *NOTE: SEPARATE VERIFIED STATEMENTS ARE REQUIRED INVENTION AVERRING TO THEIR STATUS AS SMALL ENTI	NDER 37 CFR 1.9(d) OR A NONPROFIT ORGANIZATION UNDER 37 CFR 1.9(e). FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE
NAME Ithaca College  ADDRESS Ithaca, New York 14850  □ INDIVIDUAL □ SMALL BU	USINESS CONCERN   NONPROFIT ORGANIZATION
NAME University Of Rochester  ADDRESS Rochester, New York 14627  INDIVIDUAL SMALL BU	JSINESS CONCERN   NONPROFIT ORGANIZATION
I ACKNOWLEDGE THE DUTY TO FILE, IN THIS APPLICATION OF ENTITLEMENT TO SMALL ENTITY STATUS PRIOR TO PAYMENINTENANCE FEE DUE AFTER THE DATE ON WHICH STATEMENTS MADE HEREIN OF INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE;	DR PATENT, NOTIFICATION OF ANY CHANGE IN STATUS RESULTING IN LOSS OF ING, OR AT THE TIME OF PAYING, THE EARLIEST OF THE ISSUE FEE OR ANY ATUS AS A SMALL ENTITY IS NO LONGER APPROPRIATE. (37 CFR 1.28(B)) DF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT IRE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE IN THE FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION, ANY
NAME OF PERSON SIGNING W. BRUCE WI	IEATON
TITLE IN OPGANIZATION OF PERSON SIGNING	Executive Director, The University of Iowa Research Foundation
ADDRESS OF PERSON SIGNING 214 Technology	Innovation Center, Oakdale Research Campus, Iowa City, Iowa 52319
SIGNATURE M 3	2-6 DATE 9/24/37

IN THE UNITE STATES PATENT AND TRADET RK OFFICE

Applicant: James P. Pearson, et al.

Serial No.: 104,487

Filed: August 9, 1993

Title: AN AUTOINDUCER MOLECULE

Attorney Docket No.: UIZ-003

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
VERIFIED STATEMENT (DECEMBER OF A NIZATION
(37 CFR 1.9(f) & 1.27(d))—NONPROFIT ORGANIZATION

I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO A	CT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW:
NAME OF NONPROFIT ORGANIZATION	University of Rochester
ADDRESS OF NONPROFIT ORGANIZATION	ROCHESTER, NEW YORK 14627
(NAME OF STATE (CITATION OF STATUTE	ESERVICE CODE (26 O.S.C. 301(a) and 301(c)(3))  L UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  ENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR.  WITH STATES PATENT AND TRADEMARK OFFICE REGARDING THE INVENTION
DESCRIBED IN:  THE SPECIFICATION FILED HEREWITH.  APPLICATION SERIAL NO. 104,487	FILED: August 9, 1993 ISSUED
REGARDING THE ABOVE-IDENTIFIED INVENTION.  IF THE RIGHTS HELD BY THE NONPROFIT ORGANIZATION ARE THE INVENTION IS LISTED BELOW* AND NO RIGHTS TO THE NOT QUALIFY AS AN INDEPENDENT INVENTOR UNDER 37 C	HAVE BEEN CONVEYED TO AND REMAIN WITH THE NONPROFIT ORGANIZATION  NOT EXCLUSIVE, EACH INDIVIDUAL, CONCERN OR ORGANIZATION HAVING RIGHTS IN E INVENTION ARE HELD BY ANY PERSON, OTHER THAN THE INVENTOR WHO WOULD  FR I.9(C) IF THAT PERSON MADE THE INVENTION, OR BY ANY CONCERN WHICH DER 37 CFR 1.9(D) OR A NONPROFIT ORGANIZATION UNDER 37 CFR 1.9(E).  FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE
NAME The University of Iowa Research Fou ADDRESS 214 Technology Center, Oakdale Research Fou INDIVIDUAL SMALL BUS	earch Campus, Iowa City, Iowa
	SINESS CONCERN   NONPROFIT ORGANIZATION
ENTITLEMENT TO SMALL ENTITY STATUS PRIOR TO PAYIN MAINTENANCE FEE DUE AFTER THE DATE ON WHICH STA' I HEREBY DECLARE THAT ALL STATEMENTS MADE HEREIN OF INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; A	R PATENT, NOTIFICATION OF ANY CHANGE IN STATUS RESULTING IN LOSS OF AIG, OR AT THE TIME OF PAYING, THE EARLIEST OF THE ISSUE FEE OR ANY ITUS AS A SMALL ENTITY IS NO LONGER APPROPRIATE. (37 CFR 1.28(B)) F MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON ND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT BE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE FULL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION, ANY HIS VERIFIED STATEMENT IS DIRECTED.
NAME OF PERSON SIGNING JANE YOUNGE	RS
TITLE IN ORGANIZATION OF PERSON SIGNING_	Director - Office of Research, University of Rochester
ADDRESS OF PERSON SIGNING 518 Hylan Build	ing, Rochester, New York 14627
SIGNATURE Janualfringer	DATE 39 deptember 1993

## IN THE UNITY STATES PATENT AND TRADENTRY OFFICE

Applicant: James P. Pearson, et al.

Serial No.: 104,487

Filed: August 9, 1993

Title: AN AUTOINDUCER MOLECULE

Attorney Docket No.: UIZ-003

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION

I HEREBY DECLARE THAT I AM AN OFFICIAL EMPOWERED TO	ACT ON BEHALF OF THE NONPROFIT ORGANIZATION IDENTIFIED BELOW.
NAME OF NONPROFIT ORGANIZATION	ITHACA COLLEGE
ADDRESS OF NONPROFIT ORGANIZATION	ITHACA, NEW YORK 14850
□ NONPROFIT SCIENTIFIC OR EDUCATIONA (NAME OF STATE (CITATION OF STATUTE	E SERVICE CODE (26 U.S.C. 301(a) and 301(C)(3))  L UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  DENTIFIED ABOVE QUALIFIES AS A NONPROFIT ORGANIZATION AS DEFINED IN 37 CFR INITED STATES PATENT AND TRADEMARK OFFICE REGARDING THE INVENTION
DESCRIBED IN:  ☐ THE SPECIFICATION FILED HEREWITH.  ☑ APPLICATION SERIAL NO. 104,487	FILED: August 9, 1993
☐ PATENT NO  I HEREBY DECLARE THAT RIGHTS UNDER CONTRACT OR LAW REGARDING THE ABOVE-IDENTIFIED INVENTION.  IF THE RIGHTS HELD BY THE NONPROFIT ORGANIZATION ARE THE INVENTION IS LISTED BELOW* AND NO RIGHTS TO THE NOT QUALIFY AS AN INDEPENDENT INVENTOR UNDER 37.	E NOT EXCLUSIVE, EACH INDIVIDUAL, CONCERN OR ORGANIZATION HAVING RIGHTS IN IE INVENTION ARE HELD BY ANY PERSON, OTHER THAN THE INVENTOR WHO WOULD CFR 1.9(c) IF THAT PERSON MADE THE INVENTION, OR BY ANY CONCERN WHICH NDER 37 CFR 1.9(d) OR A NONPROFIT ORGANIZATION UNDER 37 CFR 1.9(e).  FROM EACH NAMED PERSON, CONCERN OR ORGANIZATION HAVING RIGHTS TO THE
NAME The University of Iowa Research For ADDRESS 214 Technology Innovation Center.  INDIVIDUAL SMALL BU	undation Oakdale Research Campus, Iowa City, Iowa 52319
NAME University Of Rochester  ADDRESS Pochester New York 14627	JSINESS CONCERN   NONPROFIT ORGANIZATION
I ACKNOWLEDGE THE DUTY TO FILE, IN THIS APPLICATION OF ENTITLEMENT TO SMALL ENTITY STATUS PRIOR TO PAYE MAINTENANCE FEE DUE AFTER THE DATE ON WHICH STATEMENTS MADE HEREIN OF INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE;	OR PATENT, NOTIFICATION OF ANY CHANGE IN STATUS RESULTING IN LOSS OF ING, OR AT THE TIME OF PAYING, THE EARLIEST OF THE ISSUE FEE OR ANY ATUS AS A SMALL ENTITY IS NO LONGER APPROPRIATE. (37 CFR 1.28(B)) OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT RE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE ILFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALUETLY OF THE APPLICATION, ANY
NAME OF PERSON SIGNING MARGARET B	ALL Control Whee College
	Vice President/College Counsel, Ithaca College.
ADDRESS OF PERSON SIGNING Job Hall, Ithaca.	New York 14850
SIGNATURE Marguet 7	Ball DATE 10/1/93